



03/19/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on March 19, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL815445348US**, addressed to the Commissioner for Patents, Washington, DC 20231.

Neel Joshi
Neel Joshi

Attorney Docket No. SUNMP002B

First Named Inventor: Vladimir Matena



UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Commissioner for Patents
Box Patent Application
Washington, DC 20231

☐ Duplicate for
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:
Vladimir Matena, Rahul Sharma, Masood Mortazavi, and Sanjeev Krishnan

FOR: METHOD AND APPARATUS FOR PROVIDING APPLICATION SPECIFIC STRATEGIES TO A JAVA PLATFORM
INCLUDING LOAD BALANCING POLICIES

Application Elements:

- ☒ 37 Pages of Specification, Claims and Abstract
- ☒ 18 Sheets of Drawings (Informal)
- ☒ 02 Pages Declaration

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
 - ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Other:

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
	<u>NO. FILED</u>	<u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>		<u>RATE</u>	<u>FEE</u>
BASIC FEE			\$355	\$	OR	\$710	\$710
TOTAL CLAIMS	20	-20 = 0	x09=	\$	OR	x18 =	\$
INDEP CLAIMS	3	-3 = 0	x40 =	\$	OR	x80 =	\$
[] Multiple Dependent Claim Presented			\$135 =	\$	OR	\$270 =	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	<u>\$710</u>

☒ Check No. 4990 in the amount of \$750.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. SUNMP002B).

Request for Small Entity Status

☐ Applicant is entitled to small entity status.


General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. SUNMP002B).

☒ Please send correspondence to the following address:

Joe A. Brock, II, Esq.
MARTINE PENILLA & KIM, LLP
 710 Lakeway Drive, Suite 170
 Sunnyvale, CA 94086
Customer Number 25920
 Tel (408) 749-6900
 Fax (408) 749-6901

Date: March 19, 2001


 Joe A. Brock, II, Esq.
 Registration No. 46,021